- 1 SB435
- 2 135398-3
- 3 By Senator Ward
- 4 RFD: Judiciary
- 5 First Read: 20-MAR-12

135398-3:n:03/20/2012:KMS/mfc LRS2011-5845R2

2

1

3

4

5

6

7

This bill would make numerous revisions to 8 SYNOPSIS: 9 the statute regulating the practice of psychology. The bill would define terms related to the 10 11 practice; provide further for the privileged 12 communications between psychologists and their 13 clients; provide further for the membership of the 14 Board of Examiners in Psychology; provide further 15 for renewal and licensing fees of psychologists and 16 psychological technicians; provide further for 17 continuing education; provide further for licensing 18 mobility and temporary and emergency disaster 19 licensing; require legal citizenship or legal 20 presence in the county; increase the fines for 21 unauthorized practice of psychology; provide civil 22 remedies for enjoining violations of the licensing 23 act; provide for background check fee; provide 24 further for complaints of licensees; and provide

26

25

further for hearings of alleged violations.

1	A BILL
2	TO BE ENTITLED
3	AN ACT
4	
5	Relating to the practice of psychology; to amend
6	Sections 34-26-1, 34-26-2, 34-26-21, 34-26-22, 34-26-40,
7	34-26-41, 34-26-42, 34-26-43, 34-26-43.1, 34-26-44, 34-26-45,
8	34-26-46, and 34-26-47, Code of Alabama 1975; so as to further
9	define terms related to the practice of psychology; provide
10	further for the privileged communications between
11	psychologists and their clients; provide further for the
12	membership of the Board of Examiners in Psychology; provide
13	further for renewal and licensing fees of psychologists and
14	psychological technicians; provide further for continuing
15	education; provide further for licensing mobility and
16	temporary and emergency disaster licensing; require legal
17	citizenship or legal presence in the county; increase the
18	fines for unauthorized practice of psychology; provide civil
19	remedies for enjoining violations of the licensing act;
20	provide for background check fee; provide further for
21	complaints of licensees; and provide further for hearings of
22	alleged violations.
23	BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:
24	Section 1. Sections 34-26-1, 34-26-2, 34-26-21,
25	34-26-22, 34-26-40, 34-26-41, 34-26-42, 34-26-43, 34-26-43.1,

34-26-44, 34-26-45, 34-26-46, and 34-26-47, Code of Alabama

1975, are amended to read as follows:

3 "\$34-26-1.

"(a) For the purposes of this chapter, the two levels of psychological practice are as follows:

- "(1) Psychologist.
- "(2) Psychological technician.

"(b) (1) A person practices as a psychologist within the meaning of this chapter when he or she holds himself or herself out to be a psychologist or renders to individuals or to the public for remuneration any service involving the application of recognized principles, methods, and procedures of the science and profession of psychology, such as interviewing or administering and interpreting tests of mental abilities, aptitudes, interests, and personality characteristics for such purposes as psychological evaluation or for such purposes as overall personality appraisal or classification, or treatment. The practice of psychologists specifically includes the use of projective assessment techniques, the diagnosis of mental disorders, and psychotherapy. as defined by this chapter.

"(2) A person represents himself or herself to be a psychologist within the meaning of this chapter when he or she holds himself or herself out to the public by any title or description of services incorporating the words psychological, psychologist, or psychology; or under such title or

1	description offers or renders services defined as the practice
2	of psychology in this act to individuals, groups of
3	individuals, or corporate entities or other organizations.
4	"(3) The term psychological services as used in this
5	chapter refers to any services under subsection (b) (1) if the
6	words psychological, psychologist, or psychology are used to
7	describe such services or used in any report, evaluation,
8	assessment, or public presentation by the person or
9	organization offering to render or rendering such services.
10	"(4) The term practice of psychology as used in this
11	chapter is defined as the observation, description,
12	evaluation, interpretation, and modification of human behavior
13	by the application of psychological principles, methods, and
14	procedures, including the use of projective assessment
15	techniques, for any of the following purposes:
16	"a. Preventing, eliminating, evaluating, assessing,
17	or predicting symptomatic, maladaptive, or undesired
18	behavior.
19	"b. Evaluating, assessing, or facilitating the
20	enhancement of individual, group, or organizational
21	effectiveness, including personal effectiveness, adaptive
22	behavior, interpersonal relationships, work and life
23	adjustment, health, and individual, group, and organizational
24	performance.
25	"c. Assisting in legal decision-making.

1	"(5) The practice of psychology includes, but is not
2	limited to, any of the following:
3	"a. Psychological testing and evaluation or
4	assessment of personal characteristics, such as intelligence;
5	personality; cognitive, physical, or emotional abilities;
6	skills; interests; aptitudes; and neuropsychological
7	functioning.
8	"b. Counseling, psychoanalysis, psychotherapy,
9	hypnosis, biofeedback, and behavior analysis and therapy.
LO	"c. Diagnosis, treatment, and management of mental,
L1	cognitive, and emotional disorder or disability, substance use
L2	disorders, disorders of habit or conduct, as well as of the
13	psychological aspects of physical illness or condition,
L 4	accident, injury, or disability.
L5	"d. Psychoeducational evaluation, therapy, and
L 6	remediation.
L7	"e. Consultation with physicians, other health care
L 8	professionals, and patients regarding all available treatment
L 9	options, with respect to provision of care for a specific
20	patient or client.
21	"f. Provision of direct services to individuals or
22	groups for the purpose of enhancing individual and, thereby,
23	organizational effectiveness, using psychological principles,
24	methods, or procedures to assess and evaluate individuals on
>5	nersonal characteristics for individual development and

behavioral change for making decisions about the individual, such as selection.

"g. The supervision of any of the above activities.

"(6) The practice of psychology shall be construed within the definition of this section without regard to whether payment is received for services rendered or if the practice was conducted in person or via electronic means.

"(2)(7) Nothing in this the definition of the practice of psychology shall be construed as permitting the use of those forms of psychotherapy which involve the administration or prescription of drugs or electro-shock or in any way infringing upon the practice of medicine as defined in the laws of this state. A psychologist shall not attempt to diagnose, prescribe for, treat, or advise a client with reference to problems or complaints falling outside the boundaries of psychological practice.

"(3) (8) Nothing in this the definition of the practice of psychology shall be construed as preventing qualified school counselors, vocational guidance counselors, vocational rehabilitation counselors, speech and hearing therapists, speech pathologists and audiologists, reading therapists, or teachers of exceptional children from rendering to the public for remuneration services for which they are qualified by training and experience involving the techniques of interviewing, administering, and interpreting tests of mental abilities, achievement, interests, and aptitudes for

such purposes as evaluation or for educational or vocational guidance, selection, or placement, so long as they do not hold themselves out to be "psychologists", use the term psychologist in their title, or describe their evaluations as representing psychological or neuropsychological assessments.

- "(9) Nothing in this definition shall be construed as preventing technical and support staff from providing functions associated with psychological assessments under the supervision of a licensed psychologist.
- "(c) (1) A person practices as a "psychological technician" within the meaning of this chapter when he or she holds himself or herself out to be a psychological technician. A psychological technician may not use the title psychologist or hold himself or herself out to the public or knowingly allow himself or herself to be held out to the public as a psychologist. A licensed psychological technician shall not practice or present himself or herself outside the area of competence as approved by the board based upon the examination and review of the qualifications, training, and experience of the individual. A psychological technician with adequate training may directly provide any of the following services without supervision:
- "a. Administering and interpreting tests: A psychological technician may administer and interpret tests of intelligence, achievement, aptitudes, and interests, and

testing for educational or vocational selection, guidance, or
placement.

- "b. Interviewing and screening: A psychological technician may conduct initial screening interviews which may lead to referrals for more extensive evaluation or treatment. A psychological technician may also administer adjective checklists, behavior rating scales, and other rating devices which may be completed by a variety of professional and non-professional observers.
  - "c. Psychoeducational interventions: Psychological technicians may provide didactic psychoeducational services to individuals or groups. The purpose of such groups is to disseminate information and educate clients.
  - "(2) A psychological technician who meets the education and training requirements of this chapter shall not provide any of the following services except under the qualified supervision of a licensed psychologist:
  - "a. Personality appraisal. Personality appraisal as performed by the psychological technician is defined as any objective assessment or evaluative technique that leads to conclusions, inferences, and hypotheses regarding personality functioning. Included are all statements relative to personality attributes, features, traits, structure, dynamics, and pathology or assets. These activities shall allow for the process of deciding the nature of the psychological disorder or condition.

"b. Clinical intervention. Includes the use of the principles, methods, and procedures of the science and profession of psychology for the treatment of individuals, groups, and families, and behavior management and behavior modification procedures with clinical populations.

"c. Consultation services. Services provided to other agencies by psychological technicians acting in the role of consultants are subject to the same rules for supervision as services provided directly by the psychological technician in his or her place of employment.

"d. Assistance with forensic assessments and neuropsychological evaluations. A psychological technician may assist a trained neuropsychologist in the administration of neuropsychological procedures or a qualified psychologist in forensic assessment. Independent forensic assessments and neuropsychological evaluations are outside of the scope of practice for psychological technicians.

"§34-26-2.

"(1) For (a) Except as otherwise provided in this section, for the purpose of this chapter, the confidential relations and communications between licensed psychologists, licensed psychiatrists, or licensed psychological technicians and their clients are privileged and placed upon the same basis as those provided by law between attorney and client, and nothing in this chapter shall be construed to require any such privileged communication to be disclosed.

Τ	(b) in judicial proceedings, whether civil,
2	criminal, or juvenile, in legislative and administrative
3	proceedings, and in proceedings preliminary and ancillary
4	thereto, a client or patient, or his or her quardian or
5	personal representative, may refuse to disclose or may prevent
6	the disclosure of confidential information, including
7	information contained within administrative records,
8	communicated to a psychological technician or a psychologist
9	licensed within this chapter and their agents, students,
10	interns, and trainees under the supervision of a licensed
11	psychologist, and their agents for the purpose of diagnosis,
12	evaluation, or treatment of any mental or emotional condition
13	or disorder. In the absence of evidence to the contrary, the
14	psychologist is presumed authorized to claim the privilege on
15	behalf of the client or the patient.
16	"(c) The privilege of confidentiality may not be
17	claimed by the client or patient, or claimed on his or her
18	behalf by authorized persons, in the following circumstances:
19	"(1) Where abuse or harmful neglect of children, the
20	elderly, or disabled or incompetent individuals is known or
21	suspected.
22	"(2) Where the validity of a will of a former client
23	or patient is contested.
24	"(3) Where such information is necessary for the
25	psychologist to defend against a malpractice action brought by
26	the client or patient.

1	"(4) Where an immediate threat of physical violence
2	against a readily identifiable victim is disclosed to the
3	psychologist.

- "(5) Where an immediate threat of self-harm is readily disclosed to the psychologist.
- "(6) Where the client or patient is undergoing evaluation pursuant to a court order.
- "(7) When the purpose of the proceeding is to substantiate and collect on a claim for mental or emotional health services rendered to the patient or any other cause of action arising out of the professional relationship.
- "(8) In the context of an investigation and hearing brought by a client or patient and conducted by the board, where violations of this chapter are at issue.

"\$34-26-21**.** 

Psychology to consist of seven persons who are residents of this state, who shall be appointed by the Governor under conditions set forth in this section. At least two members one member shall be chosen from and shall be members a member of the faculty, with the rank of assistant professor or above, of the accredited colleges and universities of the state, shall be licensed psychologists under this chapter, and shall be primarily engaged in teaching, research, or administration of psychology. Three Five members shall be licensed practicing psychologists under this chapter. One member shall be licensed

as a psychological technician or qualified for licensure as a psychological technician under this chapter. One member shall be a member of the general public.

"(b) The board shall perform those duties and exercise those powers prescribed in this chapter. No member of the board shall be liable to civil action for any act performed in good faith in the performance of his or her duty pursuant to this chapter. Original appointments to the board shall be for terms as follows: One practicing psychologist for a term of one year, one academic psychologist for a term of two years, one practicing psychologist for a term of three years, one academic psychologist for a term of four years, and one practicing psychologist for a term of five years.

Vacancies shall be filled for any unexpired term, and members shall serve until their successors are appointed and have qualified.

"(c) Board members shall not serve more than two consecutive terms of office. Within 30 days after October 1, 1963, the Executive Committee of the Alabama Psychological Association, or of its successor organization, shall submit to the Governor a list of qualified candidates for the original five positions on the board. The list shall contain names of at least two qualified academic psychologists and two qualified practicing psychologists, from which the Governor shall select the board within 60 days. Not later than October 1 of each year the executive committee council of the

association Alabama Psychological Association, or its successor organization, shall submit to the Governor the names of two qualified candidates for the position of the board to be vacated by reason of expiration of term of office. All efforts shall be made to have all nominations made with due consideration to the geographic, gender, racial, and ethnic diversity of the state and without regard to political affiliation. From the two candidates the Governor shall appoint one member not later than January 1 to serve on the board for a term of five years. With the exception of the two members member appointed from the general public pursuant to subsection (b), other vacancies occurring in the board shall be filled for the unexpired term by appointment of the Governor from two qualified candidates for each vacancy submitted within 30 days after the vacancy occurs by the executive committee council of the association, or by its successor organization. Those appointments shall be made by the Governor within 30 days after the candidates' names have been submitted. If the association, or its successor organization, fails to furnish the Governor with the list of persons eligible for appointment to the board, the Governor shall appoint any qualified members of the profession of psychology to the vacant position on the board. "(b) (1) Within 30 days following October 1, 1988,

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

the (d) The Governor shall appoint two new members to the

board for a five-year terms term of office. Each of the two

new members shall be members a member of the general public, and who is an Alabama residents resident who are not licensed by the board, and whose spouses spouse, if married, are is not licensed by the board. One of the two new public members, and his or her successors, shall be Black. Vacancies for unexpired terms of the general public member shall be filled by the Governor. Successor public members shall be appointed by the Governor. All efforts shall be made to have all such appointments made with due consideration to the geographic, gender, racial, and ethnic diversity of the state without regard to political affiliation.

"(2) Immediately upon the expiration or vacancy of the public position not held by a Black individual that public position on the board shall be abolished. Thereafter, only one public position shall remain on the board. That public position shall continue to be filled by a member of the general public who is Black.

"(c) (1) On October 1, 1997, there is created a psychological technician position on the board. The Governor shall appoint a candidate to the psychological technician position on the board. The person initially appointed to fill the psychological technician position on the board shall have a master's degree in psychology and shall not be required to be a licensed psychological technician. Any subsequent appointment to the psychological technician position on the board shall be a licensed psychological technician.

"(2)(e) To fill the psychological technician position on the board, the Executive Council of the Alabama Psychological Association, or its successor organization, shall submit a list of four nominees to the executive committee of the association, or its successor organization, who shall select two names from the list to be submitted to the Governor by October 1 prior to the term expiration. From the two candidates, the Governor shall appoint one not later than January 1 to serve on the board for a term of five years.

"(d)(f) Any board members may be removed by the Governor after notice and hearing for incompetence, neglect of duty, malfeasance in office, or moral turpitude.

"(e)(g) Immediately before entering public duties of the office, the members of the board shall take the constitutional oath of office and shall file the oath in the Office of the Governor, who upon receiving the oath shall issue to each member a certificate of appointment. The board shall have available for the Governor or his or her representative detailed reports on proceedings and shall make annual reports in the form required by the Governor.

"(f)(h) The board shall elect annually a chair and vice-chair. Each member shall receive the same per diem and travel allowance paid to state employees for each day's attendance at an official meeting of the board. The board shall hold at least one regular meeting each year. Additional meetings may be held at the discretion of the chair or at the

written request of any two members of the board. The board shall adopt a seal which shall be affixed to all certificates licenses issued by the board. The board shall from time to time adopt rules and regulations necessary for the performance of its duties. Four members of the board shall constitute a quorum. The board may hire any assistants necessary to carry on its activities within the limit of funds available to the board. The board may accept grants from foundations, individuals, and institutions to carry on its functions.

"\$34-26-22.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"(a) The Board of Examiners in Psychology shall have authority to administer oaths, to summon witnesses and to take testimony in all matters relating to its duties. The board shall be the sole agency in this state empowered to certify concerning competence in the practice of psychology and the sole board empowered to recommend licensure for the practice of psychology. The board shall have the power to recognize areas of specialization for practice and supervision, and to ensure through rules and regulations and enforcement that licensees limit their practice to demonstrated areas of competence as documented by relevant professional education, training, and experience. No individual shall be issued a license for the practice of psychology who has not been previously certified at the appropriate level of practice by the board. The board shall certify as competent to practice psychology or as competent to practice as a psychological

technician all persons who shall present satisfactory evidence of attainments and qualifications under provisions of this chapter and the rules and regulations of the board. The certification shall be signed by the chair of the board under the adopted seal of the board. The chair shall, under the direction of the board, aid the district attorneys in the enforcement of this chapter and the prosecutions of all persons charged with the violation of its provisions. Psychologists and psychological technicians licensed by the board shall be required to submit annually to the board a completed registration fee, not to exceed five hundred dollars (\$500) of up to one hundred fifty dollars (\$150) to be for psychologists and of up to one hundred dollars (\$100) for psychological technicians as set to be determined by the board, after the first year. The list of registered licensed psychologists and registered licensed psychological technicians shall be made available to interested individuals or organizations at a nominal charge.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"(b) Every psychology license issued by the board shall expire on October 15th of every year and shall be renewable for one year if all requirements for renewal, including continuing education requirements, are satisfied and the renewal fee is paid. If a license expires because of failure of the licensee to complete the renewal form, pay renewal fees, or meet continuing education requirements, the board may renew the license within six months after the

expiration date if all delinquencies are remediated, subject to an additional late fee to be determined by the board in its rules. Failure to renew a license within six months after its expiration date shall have the effect of the license being considered lapsed. A lapsed license may be renewed within a period of two years after the lapse upon payment of fees in arrears, or thereafter, upon payment of a renewal fee, or upon meeting continuing education requirements, as determined by the board. Any licensed psychologist or psychological technician whose license has lapsed beyond six years shall reapply under the then current regulations for initial licensure.

"(b)(c) By the year 1990 the The board shall implement requirements through its rules and regulations that licensees shall engage in annual continuing education activities other than individual study to renew the license to practice. Continuing education activities shall be relevant to the practice of psychology or applicable within the practice of psychology. The board may charge a reasonable fee to register and keep records of licensees' continuing education credits. Failure to engage in continuing education or failure to pay fees for registration of continuing education credits will provide grounds for suspension of licensure.

"(c) (d) The board may promulgate and adopt rules and regulations as are necessary to implement the requirements of

this chapter. The rules and regulations shall be adopted pursuant to the state administrative procedure statutes.

"\$34-26-40.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"(a) It is specifically prohibited that any individual or organization shall present himself, herself, or it, or be presented to the public by any title incorporating the name "psychological," "psychologist," or "psychology" other than so licensed by this chapter. Any psychological scientist, including a school psychologist who holds a certificate issued by the State Superintendent of Education, employed by a recognized research laboratory, school, college, university, or governmental agency or department may represent himself or herself by the academic or research title conferred upon him or her by the administration of the laboratory, school, college or university, or any governmental agency or department, but may not use the title psychological technician or licensed psychologist. Nothing in this section shall be construed as permitting such persons to offer their services to any other persons or organizations as consultants or to accept remuneration for any psychological services other than that of their institutional salaries, or to provide direct psychological services to individuals, unless they have been licensed under this chapter. Visiting lecturers from recognized laboratories, schools, colleges, universities, or any governmental agencies or departments are exempt from the provisions of this section and may utilize their academic or

research titles when presenting lectures or performing any consultation as might be required in their academic or research functions. Students of psychology, psychological interns, and other persons preparing for the profession of psychology under qualified supervision in recognized training institutions or facilities may be designated by such titles as "psychological intern," "psychological trainee," or others clearly indicating such training status. No individual may employ or use the title "licensed psychologist" or imply in any way that he or she is licensed by the board unless he or she is actually so licensed and registered under this chapter.

"(b) No person working for any recognized state department or agency, research laboratory, school, college, or university shall be required to have any license issued pursuant to this chapter to perform their job duties and responsibilities related to their position or employment status with these entities.

"\$34-26-41.

"(a) (1) Any person wishing to obtain the right to practice as a psychologist or psychological technician in this state, who has not heretofore been licensed to do so, shall, before it shall be lawful for him or her to practice as a psychologist or psychological technician in this state, make application to the Board of Examiners in Psychology through the chair upon such form and in such manner as prescribed by the board.

"(2) Unless a person has first obtained a valid
license as aforesaid, it shall be unlawful and a violation of
this chapter for him or her to practice as a psychologist or
psychological technician.

- "(b) A candidate for licensure as a psychologist shall furnish the board with satisfactory evidence of all of the following:
  - "(1) He or she is of good moral character.
  - "(2) He or she is at least 19 years of age.
- "(3) He or she has received a doctorate degree from a department of, or school of, psychology, from an educational institution accredited and recognized by national and regional accrediting agencies as maintaining satisfactory standards.
- "(4) He or she is competent in psychology as shown by passing such examinations, written or oral, or both, as the board will prescribe, unless exempted pursuant to subsection (d).
- "(5) He or she is not engaged in unethical practice as defined in the Code of Ethics of the American Psychological Association.
- "(6) He or she has not within the preceding six months failed an examination given by the board.
- "(7) He or she is a citizen of the United States or, if not a citizen of the United States, a person who is legally present in the United States with appropriate documentation from the federal government.

1	" <del>(c) The board may issue a license to any person who</del>
2	is a licensed psychologist of another state, and who applies
3	to the board, provided the licensee of another state shall
4	furnish the board with satisfactory evidence of all of the
5	<del>following:</del>
6	" <del>(1) He or she is of good moral character, and holds</del>
7	his or her license in good standing from another state.
8	" <del>(2) He or she is at least 19 years of age.</del>
9	" <del>(3) He or she has received a doctorate degree in</del>
10	psychology from an educational institution accredited and
11	recognized by national and regional accrediting agencies as
12	maintaining satisfactory standards or, in lieu of a doctorate
13	degree in psychology, a doctorate degree in a closely allied
14	field, if the training received therefor is substantially
15	similar to that required of doctorates obtained from
16	departments of psychology.
17	"(4) He or she is competent in psychology as shown
18	by the passing of an examination, unless exempted pursuant to
19	subsection (d), substantially equivalent to the examinations
20	prescribed in subsection (a), or by the passing of a
21	recognized national examination in psychology.
22	"(5) He or she is not engaged in unethical practice
23	as defined in the Code of Ethics of the American Psychological
24	Association.
25	"(6) He or she has practiced psychology in another
26	state at least four consecutive years prior to application.

"(7) That the other state under which he or she is

licensed gives similar recognition and reciprocal licensing to

licensed psychologists of this state.

- "(8) He or she is a citizen of the United States or, if not a citizen of the United States, A person who is legally present in the United States with appropriate documentation from the federal government.
- "(c) The board shall accept the Certificate of

  Professional Qualification (CPQ) in psychology issued by the

  Association of State and Provincial Psychology Boards, or its

  successor organization, or board certification by the American

  Board of Professional Psychology (ABPP), as evidence that the

  applicant has met the requirements for licensure except for

  any local jurisdictional examinations.
- "(d) The board shall issue a license to any applicant with a doctoral degree in psychology who is otherwise qualified pursuant to subsections (a) and (b), who is licensed in at least two states and who has passed a recognized national exam.
- "(e) An individual who possesses a valid license to practice psychology independently at the doctoral level by any jurisdiction recognized by the Association of State and Provincial Psychology Boards may practice psychology in Alabama for no more than 30 days each calendar year without applying for a license to practice psychology in Alabama unless exempted pursuant to this chapter. This authority to

practice does not apply to a psychologist who has been denied

licensure in Alabama, is a legal resident of Alabama, or

intends to practice full-time or a major portion of his or her

time in Alabama.

- "(f) An individual licensed to practice psychology in another jurisdiction, who is providing services in response to a declared disaster or state of emergency, may practice psychology in this jurisdiction for no more than 60 days per year without applying for a license upon proper notification as required by the board in its rules.
- "(q) A licensee may request that the board designate his or her license with inactive status at any point prior to the date of renewal. Granting inactive status to a licensee revokes all privileges associated with this chapter until reactivation is requested by the licensee. Procedures for reactivating a license for practice status shall be established by the board.
- "(e)(h) A candidate for licensure as a psychological technician shall furnish the board with satisfactory evidence of all of the following:
  - "(1) He or she is of good moral character.
  - "(2) He or she is at least 19 years of age.
- "(3) He or she has a master's degree in psychology from a regionally accredited institution of higher education, or has completed the equivalent of a master's degree from an American Psychological Association accredited doctoral program

- in psychology, as determined by the board. Educational requirements are provided in Section 34-26-65.
  - "(4) Is competent as a psychological technician, as shown by passing examinations, written or oral, or both, as prescribed by the board.
    - "(5) Is not engaged in unethical practice as defined in the most recent version of the Code of Ethics of the American Psychological Association.
    - "(6) Has not within the preceding six months failed an examination given by the board.
    - "(7) He or she is a citizen of the United States or, if not a citizen of the United States, a person who is legally present in the United States with appropriate documentation from the federal government.

"§34-26-42.

"(a) If any person holds himself or herself out to the public as being engaged in practice as a psychologist or psychological technician, such as clinical, counseling, school, or combined professional-scientific psychology, and does not then possess in full force and virtue a valid license to practice as a psychologist or psychological technician under this chapter, he or she shall be deemed guilty of a Class & B misdemeanor and, upon conviction, shall be fined not less than one hundred dollars (\$100) five hundred dollars (\$500) per occurrence nor more than five hundred dollars

court costs. Nothing in this chapter shall be construed to limit the professional pursuits of teachers in recognized public and private schools, clergymen, practitioners of medicine, social workers, and guidance counselors from full performance of their professional duties. However, in such performance any title shall be in accord with this chapter. Students of psychology, psychological interns, or other persons preparing for the profession of psychology may perform as a part of their training the functions specified in this chapter, but only under qualified supervision. Use of psychological techniques by business and industrial organizations for employment placement, evaluation, promotion, or job adjustment of their own officers or employees or by employment agencies for the evaluation of their own clients prior to recommendations for employment is also specifically allowed. However, no industrial or business firm or corporation may sell or offer to the public or to other firms or corporations for remuneration any psychological services as specified in this chapter unless the services are performed or supervised by individuals duly and appropriately licensed under this chapter.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"(b) When the board has evidence that any person has engaged in any act or practice constituting a violation of any provision of this chapter or any rule or order hereunder, the board, in its discretion and in its own name, may bring an action in the Circuit Court of Montgomery County to enjoin

such acts or practices and to enforce compliance with this chapter or any rule or order hereunder, regardless of whether criminal proceedings have been or may be instituted. Upon a proper showing, a permanent or temporary injunction, restraining order, or writ of mandamus shall be granted.

"\$34-26-43.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"There shall be paid to the board <del>chair</del> by each applicant for a permanent license to practice psychology as a psychologist or a psychological technician a fee not to exceed two hundred dollars (\$200) not to exceed five hundred dollars (\$500), and by each applicant for a permanent license to practice as a psychological technician a fee not to exceed one hundred forty dollars (\$140), as set by the board in its rules. No part of any fee shall be returnable under any circumstance other than failure of the board to hold examinations at the time originally announced, whereupon the entire fee may be returned at the option of the candidate. All fees collected in this manner plus renewal fees as outlined in Section 34-26-22 and all gifts or grants shall be deposited in the State Treasury to the credit of the board. Vouchers in payment of expenses shall be drawn on the state Comptroller signed by the chair or executive officer of the board.

"\$34-26-43.1.

"The Board of Examiners in Psychology shall charge each candidate for licensure as a psychologist or psychological technician  $\frac{1}{2}$  and  $\frac{1}{2}$  fee sufficient to

cover the entire actual costs of the examination of the applicant, as well as the cost of criminal and civil background checks of the applicant.

"§34-26-44.

1

2

3

4

5

6

7

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

"Examination of applicants for a license to practice as a psychologist or psychological technician shall be made by the board at least once a year according to methods and in such subject fields as may be deemed by the board to be the most practical and expeditious to test the qualifications of the applicant. The board shall require the examinations to be written or oral, or both. In any written examination the applicant shall be designated by a number instead of his or her name so that his or her identity shall not be disclosed to the members of the board until the examination papers have been graded. The board shall grade the written examinations returned by the candidates and shall keep them for at least one year. A candidate shall be held to have passed the examination upon the affirmative vote of three or more members of the board as defined in the rules of the board. Any unsuccessful candidate may, upon written request to the board, see his or her graded paper.

"\$34-26-46.

"(a) The board shall suspend, place on probation, or require remediation, or any combination thereof, for any psychologist or psychological technician for a specified time, to be determined at the discretion of the board, or revoke any

license to practice as a psychologist or psychological

technician or take any other action specified in the rules and

regulations whenever the board finds by a preponderance of the

evidence that the psychologist or psychological technician has

engaged in any of the following acts or offenses:

- "(1) Fraud or deception in applying for or procuring a license to practice as a psychologist or psychological technician; or in passing the examination provided for in this chapter.
- "(2) Practice as a psychologist or psychological technician under a false or assumed name or the impersonation of another practitioner of a like or different name.
- "(3) Immoral, unprofessional, or dishonorable conduct as defined in the rules and regulations promulgated by the board.
- "(4) Practicing as a psychologist or psychological technician in such a manner as to endanger the welfare of clients or patients.
- "(5) Conviction of felony (a copy of the record of conviction, certified to by the clerk of the court entering the conviction shall be conclusive evidence).
- "(6) Conviction of any crime or offense that reflects the inability of the practitioner to practice as a psychologist or psychological technician with due regard for the health and safety of clients or patients.

- "(7) Harassment, intimidation, or abuse, sexual or otherwise, of a client or patient.
- "(8) Engaging in sexual intercourse or other sexual contact with a client or patient.

- "(9) Use of repeated untruthful or deceptive or improbable statements concerning the licensee's qualifications or the effects or results of proposed treatment, including functioning outside of one's professional competence or area of specialization established by education, training, and experience as recognized by the board.
- "(10) Gross malpractice or repeated malpractice or gross negligence in practice as a psychologist or psychological technician.
- "(11) Aiding or abetting practice as a psychologist or psychological technician by any person not licensed by the board.
- "(12) Conviction of fraud in filing Medicare or Medicaid claims or in filing claims to any third party payor (a copy of the record of conviction, certified to by the clerk of the court entering the conviction, shall be conclusive evidence).
- "(13) Exercising undue influence in such a manner as to exploit the client, patient, student, or supervisee for financial or other personal advantage to the practitioner or a third party.

- "(14) The suspension or revocation by another state
  of a license to practice as a psychologist or psychological
  technician for cause other than failure to renew the license
  (a certified copy of the record of suspension or revocation of
  the state making such a suspension or revocation shall be
  conclusive evidence thereof).
  - "(15) Refusal to appear before the board after having been ordered to do so in writing by the executive office or chair of the board.

- "(16) Making any fraudulent or untrue statement to the board.
  - "(17) Failing to cooperate with or to respond promptly, completely, and honestly to the board.
  - " $\frac{(17)}{(18)}$  Violation of the code of ethics adopted in the rules and regulations of the board.
  - "(18)(19) Upon the recommendation of the Ethics
    Committee of the Alabama Psychological Association, or of its
    successor organization, or the Ethics Committee of the
    American Psychological Association.
  - "(19)(20) Inability to practice as a psychologist or psychological technician with reasonable skill and safety to patients or clients by reason of illness, inebriation, misuse of drugs, narcotics, alcohol, chemicals, or any other substance, or as a result of any mental or physical condition.
  - "(20)(21) Engaging in practice as a psychologist or psychological technician before a license is issued.

"(21) Failure to engage in continuing education or failure to pay fees for registration of continuing education credits.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

- "(22) Practice of a level of psychology inappropriate or beyond the scope of the particular license held by the licensee.
- "(23) Failure to comply with any of the respective responsibilities of a supervisor or supervisee as provided in this chapter.
- "(b) When the issue is whether or not a psychologist or psychological technician is physically or mentally capable of practicing as a psychologist or psychological technician with reasonable skill and safety to patients or clients, then, upon a showing of probable cause to the board that the psychologist or psychological technician is not capable of practicing psychology with reasonable skill and safety to patients, the board may petition a court of competent jurisdiction to order the psychologist or psychological technician in question to submit to a psychological examination by a psychologist to determine psychological status and/or a physical examination by a physician to determine physical condition. The psychologist and/or physician is to be designated by the court. The expense of the examination shall be borne by the board. Where the psychologist or psychological technician raises the issue of mental or physical competence or appeals a decision regarding

his or her mental or physical competence, the psychologist or 1 2 psychological technician shall be permitted to obtain his or her own evaluation at his or her own expense. If the 3 objectivity or adequacy of the examination is suspect, the board may complete an examination by its designated practitioners at its own expense. When mental or physical 7 capacity to practice is at issue, every psychologist or psychological technician licensed to practice in the state 9 shall be deemed to have given consent to submit to a mental or physical examination or to any combination of such 11 examinations and to waive all objections to the admissibility of the examination, or to previously adjudicated evidence of 12 13 mental incompetence.

4

5

6

8

10

14

15

16

17

18

19

20

21

22

23

24

25

"(c) The board may assess the cost of any investigation, legal service, legal proceeding, or disciplinary action against any applicant or licensee found to be in violation of this chapter.

"(d) In determining the amount of any penalty, which shall not exceed one thousand dollars (\$1,000) per violation, not to exceed a total of five thousand dollars (\$5,000), the board shall consider the seriousness of the violation, including any threat to the health, safety, or welfare of the public, the unlawful gain or economic benefit gained by the violation, the person's history of previous violation, and the person's efforts to mitigate and comply with this chapter.

"(e) Judicial review of an order entered by the

board under this section shall be conducted in accordance with

the pertinent provisions for the judicial review of contested

cases as provided in the Alabama Administrative Procedure Act.

"(f) The board shall exercise its jurisdiction for disciplinary oversight of licensees for any psychological services, regardless of how or where those services are rendered, even if those services are rendered in another state, federal facility, or foreign country during the period of their licensure. The board shall not accept voluntary surrender of a license on the part of a licensee to avoid possible disciplinary actions by the board. Secure inactive status of a licensee shall not negate jurisdiction of the board of a licensee's actions during any period of active licensure. If a former licensee or a licensee with inactive status is found to be in violation of the relevant state law or administrative rule, a public announcement of the decision of the board shall be proffered in a manner to be determined by the board.

"(d)(g) The Board of Examiners in Psychology may refuse to grant a certificate license, or may recommend suspension of any license for a definite period not to exceed three years. The board may, upon satisfactory proof that any applicant or licentiate has been guilty of any of the above offenses, refuse to grant a certificate license to the applicant or may recommend revocation of a license of the

licentiate upon a vote of at least four members of the board. 1 2 After three years from the date of a revocation, an application for reinstatement may be made to the board, and it 3 4 may, upon favorable action by four of its members, recommend 5

"\$34-26-47.

reinstatement.

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

"(a) The board may not recommend suspension or revocation of licensure or refuse to issue or to renew any certificate <u>license</u> for any cause listed in Section 34-26-46 unless the person accused has been given at least 20 30 days' notice in writing of the charge against him or her and of a public hearing by the board. The written notice shall be mailed to the person's last known address, but the nonappearance of the person shall not prevent such a hearing. Upon such a hearing the board may administer oath and procure by its subpoenas the attendance of witness and the production of relevant books and papers.

"(b) At least one member of the board shall be present at all times during a hearing, deliberation, and action thereon. The individual board member who assisted with the investigation of the complaint shall not vote on the disciplinary action to be taken. A hearing officer appointed by the Attorney General shall act as the hearing officer for the purpose of rulings on motions, evidence, and the like."

Section 2. This act shall become effective on the first day of the ninth month following its passage and approval by the Governor, or its otherwise becoming law.