- 1 НВ54
- 2 116225-4
- 3 By Representative Holmes
- 4 RFD: Judiciary
- 5 First Read: 12-JAN-10
- 6 PFD: 12/08/2009

1	ENGROSSED
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3	
4	A BILL
5	TO BE ENTITLED
6	AN ACT
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8	To amend Section 36-25-3, Code of Alabama 1975, as
9	amended by Act No. 2009-225, 2009 Regular Session (Acts 2009,
10	p. 401), relating to the State Ethics Commission, to grant
11	subpoena power to the commission.
12	BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:
13	Section 1. Section 36-25-3, Code of Alabama 1975, as
14	amended by Act No. 2009-225, 2009 Regular Session (Acts 2009,
15	p. 401), is amended to read as follows:
16	" §36-25-3.
17	"(a) There is hereby created a State Ethics
18	Commission composed of five members, each of whom shall be a
19	fair, equitable citizen of this state and of high moral
20	character and ability. The following persons shall not be
21	eligible to be appointed as members: (1) a public official;
22	(2) a candidate; (3) a registered lobbyist and his or her
23	principal; or (4) a former employee of the commission. For
24	purposes of this section, a public official shall not be
25	deemed to include a part-time municipal judge and a registered
26	lobbyist shall not be deemed to include a person whose

1 lobbying activities are limited to providing public testimony 2 to a legislative body or regulatory body or committee thereof. No member of the commission shall be eligible for 3 reappointment to succeed himself or herself. The members of 4 the commission shall be appointed by the following officers: 5 the Governor, the Lieutenant Governor, or in the absence of a 6 7 Lieutenant Governor, the Presiding Officer of the Senate, and the Speaker of the House of Representatives and shall assume 8 9 their duties upon confirmation by the Senate. The members of 10 the first commission shall be appointed for terms of office 11 expiring one, two, three, four, and five years, respectively, 12 from September 1, 1975. Successors to the members of the first commission shall serve for a term of five years beginning 13 14 service on September 1 of the year appointed and serving until 15 their successors are appointed and confirmed. If at any time 16 there should be a vacancy on the commission, a successor 17 member to serve for the unexpired term applicable to such vacancy shall be appointed by the Governor. The commission 18 shall elect one member to serve as chair of the commission and 19 one member to serve as vice chair. The vice chair shall act as 20 chair in the absence or disability of the chair or in the 21 22 event of a vacancy in that office.

"Beginning with the first vacancy on the Ethics
Commission after October 1, 1995, if there is not a Black
member serving on the commission, that vacancy shall be filled
by a Black appointee. Any vacancy thereafter occurring on the

commission, shall also be filled by a Black appointee if there
 is no Black member serving on the commission at that time.

3 "(b) A vacancy in the commission shall not impair 4 the right of the remaining members to exercise all the powers 5 of the commission, and three members thereof shall constitute 6 a quorum.

7 "(c) The commission shall at the close of each fiscal year, or as soon thereafter as practicable, report to 8 9 the Legislature and the Governor concerning the actions it has taken, the name, salary, and duties of the director, the names 10 11 and duties of all individuals in its employ, the money it has 12 disbursed, other relevant matters within its jurisdiction, and such recommendations for legislation as the commission deems 13 14 appropriate.

15 "(d) Members of the commission shall, while serving 16 on the business of the commission, be entitled to receive 17 compensation at the rate of fifty dollars (\$50) per day, and each member shall be paid his or her travel expenses incurred 18 in the performance of his or her duties as a member of the 19 20 commission as other state employees and officials are paid when approved by the chair. If for any reason a member of the 21 22 commission wishes not to claim and accept the compensation or 23 travel expenses, the member shall inform the director, in 24 writing, of the refusal. The member may at any time during his 25 or her term begin accepting compensation or travel expenses;

Page 3

however, the member's refusal for any covered period shall act as an irrevocable waiver for that period.

"(e) All members, officers, agents, attorneys, and 3 employees of the commission shall be subject to this chapter. 4 The director, members of the commission, and all employees of 5 6 the commission shall not engage in direct partisan political 7 activity, including the making of campaign contributions, on the state, county, and local levels. The prohibition shall in 8 9 no way act to limit or restrict such persons' ability to vote 10 in any election.

"(f) The commission shall appoint a full-time 11 12 director. The director shall serve at the pleasure of the 13 commission and shall appoint such other employees as needed. 14 All such employees, except the director, shall be employed 15 subject to the provisions of the state Merit System law, and 16 their compensation shall be prescribed pursuant to such law. 17 The employment of attorneys shall be subject to subsection 18 (h). The compensation of the director shall be fixed by the commission, payable as the salaries of other state employees. 19 20 The director shall be responsible for the administrative operations of the commission and shall administer this chapter 21 22 in accordance with the commission's policies. No regulation 23 shall be implemented by the director until adopted by the commission in accordance with Sections 41-22-1 to 41-22-27, 24 25 inclusive, the Alabama Administrative Procedure Act.

Page 4

1 "(g) The director may appoint part-time stenographic 2 reporters or certified court reporters, as needed, to take and transcribe the testimony in any formal or informal hearing or 3 investigation before the commission or before any person 4 authorized by the commission. The reporters shall not be 5 full-time employees of the commission and shall not be subject 6 7 to the Merit System law and may not participate in the State 8 Retirement System.

"(h) The director may, with the approval of the 9 Attorney General, appoint a competent attorney as legal 10 11 counsel for the commission. The legal counsel shall be of good 12 moral and ethical character, licensed to practice law in this state, and a member in good standing of the Alabama Bar 13 14 Association. The legal counsel shall be commissioned as an 15 assistant or deputy attorney general and shall, in addition to 16 the powers and duties herein conferred, have the authority and 17 duties of an assistant or deputy attorney general, except, that his or her entire time shall be devoted to the 18 commission. The attorney shall act as an attorney for the 19 20 commission in actions or proceedings brought by or against the commission pursuant to any provisions of law under the 21 22 commission's jurisdiction, or in which the commission joins or intervenes as to a matter within the commission's 23 jurisdiction, or as a friend of the court or otherwise; 24 25 provided however, nothing in this chapter shall be deemed as a 26 direct grant of subpoena power to the commission. The

1 commission shall have subpoena power. Subpoenas shall be issued only in cases where complaints have been filed that 2 have proceeded beyond the preliminary inquiry stage. In the 3 course of an investigation, the commission may subpoena 4 witnesses and compel their attendance, and may also require 5 the production of books, papers, documents, and other 6 7 evidence. If any person fails to comply with any subpoena lawfully issued, or if any witness refuses to produce evidence 8 or to testify as to any matter relevant to the investigation, 9 it shall be the duty of any court of competent jurisdiction or 10 the judge thereof, upon the application of the director to 11 12 compel obedience by attachment proceedings for contempt, as in the case of disobedience of the requirements of a subpoena 13 issued for such court or a refusal to testify therein. A 14 15 subpoena shall be issued only upon the express written 16 authorization of the director and upon the affirmative vote of 17 at least 4/5 of the members of the commission.

"(i) The director shall designate in writing the 18 chief investigator, should there be one, and a maximum of six 19 full-time investigators who shall be and are hereby 20 constituted law enforcement officers of the State of Alabama 21 22 with full and unlimited police power and jurisdiction to 23 enforce the laws of this state pertaining to the operation and administration of the commission and this chapter. 24 25 Investigators shall meet the requirements of the Alabama Peace 26 Officers' Standards and Training Act, Sections 36-21-40 to

1 36-21-51, inclusive, and shall in all ways and for all 2 purposes be considered law enforcement officers entitled to 3 all benefits provided in Section 36-15-6(f); provided however 4 such investigators shall only exercise their power of arrest 5 as granted under this chapter pursuant to an order issued by a 6 court of competent jurisdiction."

Section 2. This act shall become effective
immediately following its passage and approval by the
Governor, or its otherwise becoming law.

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3	House of Representatives
4 5 6 7	Read for the first time and re- ferred to the House of Representa- tives committee on Judiciary 12-JAN-10
8 9 10 11	Read for the second time and placed on the calendar with 1 substitute and 14-JAN-10
12 13 14	Read for the third time and passed as amended 21-JAN-10 Yeas 93, Nays 1, Abstains 2

15 16 Greg Pappas 17 Clerk 18

Page 8