- 1 SB17
- 2 175105-2
- 3 By Senator Dunn
- 4 RFD: Governmental Affairs
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175105-2:n:12/13/2016:JMH/th LRS2016-884R1 1 2 3 4 5 6 7 8 SYNOPSIS: This bill would create the Alabama Dog and 9 Cat Breeders Commission and would give the 10 commission the authority to license dog or cat breeders in this state. 11 12 This bill would exempt certain animals and 13 breeders under certain conditions. 14 This bill would authorize the commission, by 15 rule, to do all of the following: Set certain 16 standards for breeding and the minimum standard of 17 care for certain animals, perform inspections and 18 investigations on the facility of a dog or cat 19 breeder, set licensing requirements and fees, 20 require certain notifications to the commission and 21 to local law enforcement, perform criminal 22 background checks on applicants, contract with 23 third party inspectors, establish a breeders and 24 inspectors directory and a disciplinary database, 25 provide certain consumer interest information, 26 require breeders submit an annual inventory and 27 keep certain records, require the breeder license

be displayed at each facility, and require certain 1 2 advertising and sales contract notifications be made by breeders. 3 This bill would also allow the commission to 4 deny, revoke, or suspend a dog or cat breeder 5 license under certain conditions and would provide 6 7 civil penalties for violations of this bill. 8 A BILL 9 10 TO BE ENTITLED 11 AN ACT 12 13 Relating to dog or cat breeders; to establish the Alabama Dog and Cat Breeders Commission; to give the 14 15 commission the authority to license dog and cat breeders; to 16 provide exemptions of certain animals and breeders; to 17 authorize the commission, by rule, to set certain standards 18 for breeding and the minimum standard of care for certain 19 animals, perform inspections and investigations, set licensing 20 requirements and fees, require certain notifications, perform 21 criminal background checks, contract with third party 22 inspectors, establish certain directories and publish certain 23 consumer information, require an annual inventory and keeping 24 of certain records, require the breeder license be displayed, 25 and require certain advertising and sales contract 26 notifications; to provide for the denial, revocation, or

suspension of a license under certain conditions; and to 1 2 provide civil penalties. 3 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA: 4 Section 1. For the purposes of this act, the following terms shall have the following meanings: 5 (1) ADULT ANIMAL. An animal six months of age or 6 7 older. (2) ANIMAL. A dog or cat. 8 (3) CAT. A mammal that is wholly or partly of the 9 10 species Felis domesticus. (4) COMMISSION. The Alabama Dog and Cat Breeders 11 12 Commission. 13 (5) CONTROLLING PERSON. An individual who meets any of the following conditions: 14 15 a. Is a partner, manager, director, officer, or 16 member of a dog or cat breeder. 17 b. Possesses the authority to set policy or direct 18 management of a dog or cat breeder. c. Possesses a direct or indirect control of 25 19 20 percent or more of a dog or cat breeder. 21 (6) DEPARTMENT. The Department of Agriculture and 22 Industries. 23 (7) DOG. A mammal that is wholly or partly of the 24 species Canis familiaris. 25 (8) DOG or CAT BREEDER. A person who possesses 11 or more adult intact female animals, is engaged in the business 26 27 of breeding those animals for direct or indirect sale or for

exchange in return for consideration, and who sells or
 exchanges, or offers to sell or exchange, 20 or more animals
 in a calendar year.

4 (9) FACILITY. The premises used by a dog or cat
5 breeder for keeping or breeding animals, including all
6 buildings, property, and confinement areas used to conduct the
7 breeding business.

8 (10) FEDERAL REGULATIONS. The specifications for the 9 humane handling, care, treatment, and transportation of dogs 10 and cats pursuant to 9 C.F.R. Part 3, Subpart A.

(11) INTACT FEMALE ANIMAL. A female animal that has
 not been spayed and is capable of reproduction.

13 (12) KITTEN. A cat less than six months old.
14 (13) LICENSED BREEDER. A dog or cat breeder who
15 holds a license issued under this act.

16 (14) POSSESS. To have custody of or control over.

17 (15) PRIMARY ENCLOSURE. Any structure used to
18 restrict an animal to a limited amount of space, including,
19 but not limited to, a room, pen, run, cage, or compartment.

20 (16) PUPPY. A dog less than six months old.

(17) THIRD-PARTY INSPECTOR. Any of the following
 entities with which the commission contracts under this act,
 including an employee of the entity:

24 a. A state agency.

25 b. A local law enforcement agency.

c. A local fire department.

Page 4

(18) VETERINARIAN. A veterinarian in good standing
 and licensed to practice veterinary medicine in this state.

Section 2. (a) This act does not affect the
applicability of any other law, rule, order, ordinance, or
other legal requirement of the federal government, this state,
or a political subdivision of this state.

7 (b) This act does not prevent a municipality or 8 county from prohibiting or further regulating by order or 9 ordinance the possession, breeding, or selling of dogs or 10 cats.

(c) This act does not apply to an animal regulated
 under any racing commission legally operating in this state.

(d) This act shall not apply to any animal under the
care or control of an accredited college of veterinary
medicine or to any activities conducted by an accredited
college of veterinary medicine.

17 Section 3. For the purposes of this act, an adult 18 intact female animal possessed by a person engaged in the 19 business of breeding animals for direct or indirect sale or 20 for exchange in return for consideration is presumed to be 21 used for breeding purposes unless the person establishes to 22 the satisfaction of the commission, based on the breeding 23 records of the person or other evidence reasonably acceptable 24 to the commission, that the animal is not used for breeding.

25 Section 4. (a) This act does not apply to a dog bred 26 with the intent that it be used primarily for any of the 27 following reasons:

Page 5

(1) Herding livestock, as defined by Section 2-15-20
 of the Code of Alabama 1975, or other agricultural uses.

3 (2) Hunting, including tracking, chasing, pointing,
4 flushing, or retrieving game.

5 (3) Competing in field trials, hunting tests, or
6 similar organized performance events.

7 (4) Working with, or performing tasks for, people
8 with disabilities as defined under the Americans with
9 Disabilities Act (ADA) and accredited by a nationally
10 recognized organization that accredits or certifies service
11 dogs.

(b) This act does not apply to a person to the extent the person breeds dogs described by subsection (a) for personal use. A person described by this subsection may conduct direct or indirect sales or exchanges in return for consideration of dogs described by subsection (a).

(c) Notwithstanding subsection (b), a person described by subsection (b) is subject to the requirements of this act based on the activities of the person with respect to animals other than dogs that are bred and used as described by this act.

(d) Dogs described by subsection (a) may not be
counted for purposes of determining the number of adult intact
female animals possessed by a person so as to qualify as a dog
or cat breeder under this act.

26 Section 5. (a) The Alabama Dog and Cat Breeders 27 Commission is hereby established.

(b) The commission shall consist of the following 1 2 members appointed by the commissioner of the department: (1) Two members who are licensed breeders. 3 (2) Two members who are veterinarians. 4 (3) Two members who represent animal welfare 5 organizations, each of whom has an office in this state. 6 7 (4) Two members who represent the public. (5) One member who is an animal control officer. 8 (6) The State Veterinarian who shall serve in a 9 10 nonvoting ex officio capacity. 11 (c) (1) One licensed breeder, one veterinarian, one 12 representative of an animal welfare organization, and one 13 member of the public shall serve an initial term of two years and the remaining members shall serve an initial term of four 14 years. Thereafter, the members of the commission shall serve 15 16 four-year terms. 17 (2) If a vacancy occurs during the term of a member, 18 the commissioner of the department shall appoint a replacement 19 member to serve for the remainder of the unexpired term. 20 (d)(1) The commission, by majority vote, shall elect 21 a chair.

22 (2) A member may serve as chair for more than one23 term.

(e) (1) The commission shall meet at least quarterly
and at the call of the chair of the commission or the
commissioner of the department.

Page 7

(2) A decision of the commission is effective only
 on a majority vote of the members present.

3 (f) Except for the members described by subsection
4 (b)(1), a person may not be a member of the commission if the
5 person or a member of the household of the person meets any of
6 the following criteria:

(1) Is required to be licensed under this act.

8 (2) Is an officer, employee, or paid consultant of
9 an entity required to be licensed under this act.

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(3) Owns or controls, either directly or indirectly, more than a 10 percent interest in an entity required to be licensed under this act.

(4) Is required to register as a lobbyist under
state law because of the activities of the person for
compensation on behalf of an entity required to be licensed
under this act.

(g) The commissioner of the department may remove from the commission a member who is ineligible for membership under subsection (f).

(h) (1) Out of the funds of the commission, the members shall receive as compensation a sum to be fixed by the commission for each day the member is actively engaged in the duties of the commission.

(2) In addition to the compensation provided in this
 subsection, a member may receive reimbursement for the actual
 and necessary expenses incurred while performing the duties of
 the commission.

Section 6. (a) The commission shall administer and
 enforce this act and shall adopt rules necessary for the
 administration and enforcement of this act.

4 (b) The commission, by rule, shall establish
5 reasonable and necessary fees in amounts sufficient to cover
6 the costs of administering and enforcing this act.

7 (c) In setting the fee for inspecting or licensing a
8 facility, the commission may consider the number of adult
9 intact female animals used for breeding at the facility.

10 (d) The commission may employ personnel necessary to 11 carry out the functions and duties of the commission under 12 this act.

(e) The commission may authorize disbursements
necessary to implement this act, including disbursements for
office expenses, equipments costs, and other necessary
facilities.

17 Section 7. The commission shall conduct a criminal 18 background check on each applicant who submits an application 19 for a license under this act and on any controlling person of 20 the applicant. The commission, as permitted by law, may do 21 either of the following:

(1) Examine any criminal conviction, guilty plea, or
 deferred adjudication of the applicant or controlling person.

24 (2) Obtain any criminal history or record of the25 applicant or controlling person.

Section 8. (a) (1) The commission shall maintain a 1 2 directory of licensed breeders and of third-party inspectors registered under this act. 3 4 (2) The commission shall make the directory available to the public. 5 (b)(1) The commission shall maintain a database of 6 7 dog or cat breeders who have been subject to disciplinary action under this act. 8 (2) The commission shall make the information 9 10 maintained in the database available to the public. 11 (c) (1) The commission shall prepare information of 12 consumer interest describing both of the following: 13 a. The functions performed by the commission under this act. 14 15 b. The rights of a consumer affected by this act. 16 (2) The information shall describe the procedure by 17 which a consumer complaint is filed with and resolved by the 18 commission. (3) The commission shall make the information 19 20 available to the public. Section 9. (a) There is established a separate fund 21 22 in the State Treasury to be known as the Alabama Dog and Cat 23 Breeders Commission Fund. 24 (b) All receipts and administrative penalties 25 collected by the commission pursuant to this act shall be 26 deposited in the fund and used only to administer and enforce 27 this act.

(c) The funds shall only be disbursed by warrant of
 the state Comptroller, upon itemized vouchers approved by the
 chair of the commission.

4 (d) Funds shall be withdrawn or expended pursuant to
5 Sections 41-4-80 to 41-4-96, inclusive, and 41-19-1 to
6 41-19-12, inclusive, of the Code of Alabama 1975, and only in
7 amounts as stipulated in the general appropriations bill or
8 other appropriations bills.

9 (e) In addition to paying for the compensation and 10 the expenses of the commission, funds in the account may be 11 disbursed to the commission for any of the following:

12 (1) Promoting consumer awareness of this act and13 rules adopted under this act.

14 (2) Supporting educational seminars, training
15 activities, or other actions designed to benefit the ability
16 of the commission to administer and enforce this act.

17 (3) Paying for information resulting in disciplinary
18 action under this act against a person for acting as a dog or
19 cat breeder without holding a license issued under this act.

20 (f)(1) The commission, by rule, may provide for a
21 system to pay for information described by subsection (e)(3).

(2) Rules adopted under this subsection shall ensure
that a public purpose is accomplished through the use of the
payment system.

(g) The commission may solicit and accept gifts,
 grants, and other donations from any source for deposit into
 the account.

(h) The commission shall report its use of the fund
 in a quarterly financial report submitted to the commissioner
 of the department.

Section 10. (a) A dog or cat breeder shall apply to the commission for a license for each facility that the dog or cat breeder operates and shall annually renew its license for each facility, subject to the rules established by the commission for issuance or renewal of a license issued to a dog or cat breeder pursuant to this act.

10 (b)(1) A person may not act as, offer to act as, or 11 represent that the person is a dog or cat breeder in this 12 state unless the person holds a license under this act for 13 each facility that the person owns or operates in this state.

14 (2) A license for a single facility may cover more15 than one building on the same premises.

16 Section 11. (a) An applicant for a license under 17 this act shall do all of the following:

18 (1) Submit to the commission a completed application19 on a form prescribed by the commission.

20 (2) Submit to the commission the information
21 regarding the facilities and operations of the applicant as
22 requested by the commission.

23 (3) Submit to a prelicense inspection pursuant to24 this act.

(4) Demonstrate that the applicant has satisfied the
 requirements of this act and rules adopted under this act.

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(5) Pay to the commission the required fee.

(b) Upon an applicant meeting the criteria of
 subsection (a), the commission shall issue a license to the
 applicant.

4 (c) A license issued under this act is valid until
5 the first anniversary of the date of issuance and is
6 nontransferable.

7 (d) The commission shall include the expiration date8 on each license issued under this act.

9 (e) A licensed dog or cat breeder shall notify the 10 commission in a manner prescribed by the commission not later 11 than the tenth day after the date any change occurs in the 12 address, name, management, or controlling person of the 13 business or operation.

14 Section 12. (a) Except as provided by subsection 15 (e), the commission shall conduct a prelicense inspection of a 16 facility before a license is issued for the facility.

(b) The commission may not issue a license to a dog or cat breeder until the commission receives a prelicense inspection report from the inspector in a format approved by the commission certifying that the facility meets the requirements of this act and the rules adopted under this act.

(c) Before the prelicense inspection may be
conducted, each applicant shall pay to the commission a
required inspection fee to be used to pay third-party
inspectors and the reasonable expenses of the commission
related to its licensing and inspection duties under this act.

1 (d) An applicant whose facility does not meet the 2 requirements of this act and rules adopted under this act as 3 revealed by a prelicense inspection, after correcting 4 deficiencies noted in the inspection report, may request 5 another prelicense inspection by paying the required 6 inspection fee to the commission.

7 (e) The commission may not require a prelicense
8 inspection of a facility for an applicant who meets either of
9 the following criteria:

(1) Holds a current Class A animal dealers license
 issued under the Animal Welfare Act, 7 U.S.C. Section 2131, et
 seq.

(2) Submits to the commission a copy of the license
under subdivision (1) and on a form prescribed by the
commission makes a statement certifying that the facility
meets the requirements of this act and the rules adopted under
this act.

Section 13. (a) A licensed breeder may renew his or her license by doing all of the following:

20 (1) Submitting a renewal application to the21 commission on the form prescribed by the commission.

(2) Complying with any other renewal requirementsadopted by the commission.

(3) Paying the required fee.

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(b) A person whose license has expired may not
engage in activities that require a license until the license
has been renewed.

(c) The commission may not renew the license of a 1 2 person if the person is in violation of this act or any rule 3 adopted under this act at the time of renewal. 4 Section 14. (a) The commission may contract with a 5 third-party inspector to enforce or assist in the enforcement of this act and rules adopted under this act, including the 6 7 performance of any inspections and investigations required under this act. 8 (b) The commission, by rule, shall establish all of 9 10 the following: 11 (1) Training requirements for a third-party 12 inspector. 13 (2) Registration procedures for a third-party 14 inspector. 15 (3) Policies governing the acts of a third-party 16 inspector in conducting an inspection or investigation. 17 Section 15. (a) The commission or a third-party 18 inspector shall inspect each facility of a licensed breeder at 19 least once in every 18-month period and at other times as 20 necessary to ensure compliance with this act and rules adopted under this act. 21 22 (b) The inspection shall be conducted during the normal business hours of the facility, and the licensed 23 24 breeder or a representative of the licensed breeder shall be 25 given a reasonable opportunity to be present during the 26 inspection.

(c) (1) If necessary to adequately perform the
 inspection, the commission or third-party inspector may
 determine it is appropriate to not provide advance notice to
 the licensed breeder or a representative of the licensed
 breeder before arriving at the facility.

6 (2) The licensed breeder or its representative, upon 7 the request of an inspector, shall assist the inspector in 8 performing the inspection.

9 (d)(1) In conducting an inspection under this 10 section, an inspector may not enter or access any portion of a 11 private residence of a licensed breeder except as necessary to 12 access animals or other property relevant to the care of the 13 animals.

14 (2) The inspector may request that relevant15 documents or records be provided for inspection.

(e) The inspector shall submit an inspection report to the commission not later than the tenth day after the date of the inspection on a form prescribed by the commission and provide a copy of the report to the licensed breeder or its representative.

(f) On receipt of a complaint alleging a violation of this act or a rule adopted under this act, the commission or a third-party inspector designated by the commission shall conduct an investigation of the alleged violation by performing an inspection pursuant to this act.

(g) A person conducting an inspection or an
 investigation pursuant to this act shall notify the

appropriate local law enforcement agency not later than 24
 hours after discovering evidence of animal cruelty or any
 other crime against an animal during the inspection or
 investigation.

5 Section 16. (a) The commission shall deny issuance of a license to or refuse to renew the license of a dog or cat 6 7 breeder if the dog or cat breeder or a controlling person of the dog or cat breeder has pled quilty to, been convicted of, 8 or received deferred adjudication for animal cruelty or any 9 10 other crime against an animal in this state or any other 11 jurisdiction in the five years preceding the initial or 12 renewal application of the dog or cat breeder for a license.

(b) The commission shall revoke a license if after the license is issued the dog or cat breeder or a controlling person of the dog or cat breeder pleads guilty to, is convicted of, or receives deferred adjudication for animal cruelty or any other crime against an animal in this state or any other jurisdiction.

19 (c) The commission may deny issuance of a license 20 to, refuse to renew the license of, or revoke or suspend a 21 license held by a dog or cat breeder who meets any of the 22 following criteria:

(1) Fails to meet the requirements of this act andthe rules adopted under this act.

(2) Has had a similar license issued by a federal,
state, or local authority denied, revoked, or suspended.

(3) Has falsified any material information requested
 by the commission.

3 (4) Has failed to comply with any corrective action
4 required under an inspection report in the time provided by
5 the report.

6 (d)(1) In addition to the licensing penalties under 7 this section, the commission may impose a civil penalty not to 8 exceed one thousand dollars (\$1,000) for each violation of 9 this act.

10 (2) Each day of a continued violation constitutes a11 separate violation.

12 Section 17. A licensed breeder shall do all of the 13 following:

14 (1) Prominently display a copy of the license at the15 facility of the licensed breeder.

16 (2) Maintain at the facility of the licensed breeder
17 a printed copy of this act and rules adopted under this act as
18 made available by the commission.

19 (3) Include the license number in each advertisement20 of the licensed breeder.

(4) Include in each contract for the sale or
transfer of an animal by the licensed breeder both of the
following:

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a. The license number.

b. The following statement: "Dog and cat breeders
are regulated by the Alabama Dog and Cat Breeders Commission,
Montgomery, Alabama" or a similar statement adopted by rule of

the commission that includes the name, mailing address,
 telephone number, and Internet website address of the
 commission.

4 Section 18. (a) Not later than February 1 of each 5 year, a licensed breeder shall submit to the commission, on a 6 form prescribed by the commission, an accounting of all 7 animals held at the facility at any time during the preceding 8 calendar year.

9 (b) The licensed breeder shall keep copies of the 10 items described by subsection (a) at the facility of the 11 licensed breeder and shall make the annual inventory available 12 upon request to the commission or a third-party inspector 13 designated by the commission.

14 (c) A licensed breeder that has more than one15 facility shall do both of the following:

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(1) Keep separate records for each facility.

17 (2) Submit a separate accounting of animals for each18 facility.

19 Section 19. (a)(1) The commission shall adopt rules 20 establishing the minimum information that a licensed breeder 21 shall maintain for each animal in the facility of the licensed 22 breeder.

(2) A licensed breeder shall maintain a separate
 record for each animal in the facility of the licensed breeder
 documenting the care of the animal.

1 (b) The licensed breeder shall make the animal 2 records available, upon request, to the commission or a 3 third-party inspector designated by the commission.

4 (c) The commission, by rule, shall establish the 5 retention period for records required under this section.

6 Section 20. (a) The commission shall adopt rules 7 establishing minimum standards for the humane handling, care, 8 housing, and transportation of animals by a dog or cat breeder 9 to ensure the overall health, safety, and well-being of each 10 animal in the possession of the dog or cat breeder.

(b) The standards adopted under this section shallmeet all of the following criteria:

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(1) At a minimum, meet federal regulations.

14 (2) Unless otherwise certified by a veterinarian in
15 the manner prescribed by the commission, require that a
16 licensed breeder, if applicable, provide each animal 12 weeks
17 of age or older with at least one hour of daily exercise in an
18 area that meets all of the following criteria:

a. Has a surface that has adequate drainage and that
will not adversely affect the health or well-being of the
animal and that may be composed of natural turf or soil.

b. Provides adequate protection against harshweather, including exposure to the sun.

c. Has at least three times more square feet thanthe primary enclosure of the animal.

(3) Require that an adequate period consistent with
 breed standards elapse between the breeding cycles of each
 adult intact female animal.

4 (4) Require that a dog or cat breeder provide basic
5 grooming to each animal, including bathing and nail trimming,
6 to the extent required to maintain the animal in a state of
7 good health.

8 (5) Require that all primary enclosures meet all of9 the following criteria:

a. Be composed of materials that are safe for theanimal based on the breed, size, and age of the animal.

b. Have adequate space to allow the animal to comfortably stand, sit, turn around, and lie down in a natural position.

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c. Have adequate drainage.

d. If any portion of the floor surface is composed
of wire or of a slatted material, be free from any protruding,
sharp surfaces and be designed so that the paws of the animal
are unable to extend through, or become caught in the floor.

(6) Prohibit the placement of a primary enclosure of
an animal on top of the primary enclosure of another animal,
unless an impervious barrier designed to prevent the transfer
of any liquid or animal waste from one enclosure to the other
is placed between the enclosures.

(7) Prohibit the stacking of the primary enclosures
of dogs above three vertical levels.

(8) Require at least one regular veterinary
 examination a year for a breeding animal.

3 (9) Require that a dog or cat breeder maintain at 4 each of the facilities of the dog or cat breeder a written 5 health care management protocol that addresses routine and 6 preventive care.

7 (10) Ensure that necessary routine and preventive 8 care is provided to each animal and that each animal receives 9 appropriate care and treatment for any disease or illness, to 10 the extent required to maintain the animal in a state of good 11 health.

(11) Prohibit a person from euthanizing an adult animal or performing a surgical birth of an animal unless the person is a veterinarian, or the person is the owner of the animal and is euthanizing the animal for humane purposes.

(12) Require appropriate training for any person
 whose duties and responsibilities include the handling of or
 caring for an animal in the facility of a dog or cat breeder.

(13) Prohibit a dog or cat breeder from selling,
trading, or giving away an animal before the animal is eight
weeks of age.

(c) The commission, by rule, may modify existing
standards as necessary to protect or improve the health and
well-being of animals or to protect the health and safety of
the public.

Section 21. This act shall become effective on the
 first day of the third month following its passage and
 approval by the Governor, or its otherwise becoming law.