- 1 SB233
- 2 148708-1
- 3 By Senator Allen
- 4 RFD: Job Creation and Economic Development
- 5 First Read: 21-FEB-13

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8 SYNOPSIS: This bill would amend the statute relating
9 to the State of Alabama Plumbers and Gas Fitters
10 Examining Board and the licensing and regulation of
11 plumbers and gas fitters.

This bill would provide further for definitions; provide that unencumbered funds be transferred to the Alabama Home Builders Foundation for classroom training; provide further for displaying business names and require master plumbers and journeymen plumbers to have current certification for installing, testing, or maintaining backflow prevention devices; provide further for renewal of certificates; provide for reissuance fees for replacement certificates; provide for certain disciplinary actions by the board; provide for posting of bonds by principal master plumbers and gas fitters; provide further for inactive status of certified plumbers and gas fitters; provide further for exempted activities and entities; and provide further for

administrative and disciplinary actions by the board.

Amendment 621 of the Constitution of Alabama of 1901, now appearing as Section 111.05 of the Official Recompilation of the Constitution of Alabama of 1901, as amended, prohibits a general law whose purpose or effect would be to require a new or increased expenditure of local funds from becoming effective with regard to a local governmental entity without enactment by a 2/3 vote unless: it comes within one of a number of specified exceptions; it is approved by the affected entity; or the Legislature appropriates funds, or provides a local source of revenue, to the entity for the purpose.

The purpose or effect of this bill would be to require a new or increased expenditure of local funds within the meaning of the amendment. However, the bill does not require approval of a local governmental entity or enactment by a 2/3 vote to become effective because it comes within one of the specified exceptions contained in the amendment.

A BILL

25 TO BE ENTITLED

AN ACT

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Relating to the State of Alabama Plumbers and Gas 1 2 Fitters Examining Board and the regulations of plumbers and gas fitters; to amend Sections 34-37-1, 34-37-2, 34-37-5, 3 34-37-6, 34-37-8, 34-37-9, 34-37-12, 34-37-13, 34-37-14, 34-37-15, and 34-37-17, Code of Alabama 1975; so as to provide 5 6 further for defined terms, the name of the board, deposit and 7 disbursement of funds for classroom training purposes; to provide further for certification, requirements; to provide 8 9 for installing, testing, and maintaining backflow prevention 10 devices; to provide further for fees for reissuance of lost certificates; to provide further for disciplinary actions by 11 12 the board; to provide further for bonds of principal master 13 plumbers and gas fitters; to provide for inactive 14 certifications; to provide further for exempted actions and 15 entities; and to provide further for disciplinary actions of the board; and in connection therewith would have as its 16 17 purpose or effect the requirement of a new or increased expenditure of local funds within the meaning of Amendment 621 18 of the Constitution of Alabama of 1901, now appearing as 19 Section 111.05 of the Official Recompilation of the 20 21 Constitution of Alabama of 1901, as amended. 22 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA: Section 1. Sections 34-37-1, 34-37-2, 34-37-5, 23 34-37-6, 34-37-8, 34-37-9, 34-37-12, 34-37-13, 34-37-14, 24 25 34-37-15, and 34-37-17, Code of Alabama 1975, are amended to 26 read as follows:

"\$34-37-1.

"For purposes of this chapter, the following terms
shall have meanings respectively ascribed to them herein
unless the context clearly requires a different meaning:

- "(1) APPRENTICE GAS FITTER OR PLUMBER. A person other than a master or journeyman gas fitter or plumber, who is engaged in learning and assisting in the installation of gas pipe, equipment, apparatus, and appliances, and shall work directly under the supervision of a journeyman or master gas fitter or plumber, and who has successfully fulfilled the registration requirements of the board and has been duly registered by the board as such for the current year.
- "(2) BACKFLOW PREVENTION DEVICE. A device installed within a water supply or a building distribution system on the consumer side of the meter to protect the potable water supply from contamination.
- "(2) (3) BOARD. The <u>State of</u> Alabama Plumbers and Gas Fitters Examining Board as renamed by this chapter. The board shall examine, certify, and regulate plumbers, gas fitters, and medical gas piping fitters on a statewide basis pursuant to this chapter.
- "(3) (4) CERTIFICATION. The process of testing at the state level to determine the knowledge and skill of an individual with respect to plumbing and gas fitting, and the issuing of certificates to indicate that an individual has passed the examination.
- "(4) (5) GAS FITTING, GAS SYSTEMS, or GAS WORK. The installation, repair of pipes, fixtures, fittings, appliances,

or apparatus necessary for supplying natural gas for residential or commercial use from the point of delivery and all gas piping before connection to the combustion zone and including the applicable venting of flue gases to the outside atmosphere and the provisions for air for combustion and ventilation. This chapter shall not be construed to prevent any contractor certified by the Alabama Board of Heating, and Air Conditioning, and Refrigeration Contractors from installing, replacing, or performing service or repair on natural gas fired air heating/cooling appliances, excluding piping to them provided the installing contractor has a valid certificate issued by the Alabama Board of Heating, and Air Conditioning, and Refrigeration Contractors.

"(5) (6) JOURNEYMAN GAS FITTER. A person who engages in or works at the actual installation, repair, or replacement of gas work, who has successfully fulfilled the examination requirements of the board, has been issued a journeyman gas fitter certificate by the board, and possesses a valid and current annual certificate issued by the board. The certificate shall be available for inspection on request.

"(6) (7) JOURNEYMAN PLUMBER. A person who engages in or works at the actual installation, repair, or replacement of plumbing systems, who has successfully fulfilled the examination requirements of the board, has been issued a journeyman plumber certificate by the board, and possesses a valid and current annual certificate issued by the board. The certificate shall be available for inspection on request.

proposing to engage in the business of contracting to do, or of superintending the installation, maintenance, or repair of gas systems or gas work, either or both. The individual shall qualify to be a certified master gas fitter. If the business be a firm or corporation, at least one active employee of the firm or corporation shall be a duly registered and certified master gas fitter, actively and continuously connected with the conduct of the business. The master gas fitter shall have in his or her possession a current annual certificate issued by the board. The certificate shall be available for inspection on request. A person who engages in or works at the actual installation, repair, or replacement of gas systems, who has successfully fulfilled the examination requirements of the board, has been issued a master gas fitter certificate by the board, and possesses a valid and current annual certificate issued by the board. The master gas fitter shall have in his or her possession a current annual certificate. The certificate shall be available for inspection on request. This person may at any time register with the board as principal for any business, firm, or corporation. "(8) (9) MASTER PLUMBER. Any person engaged in or proposing to engage in the business of contracting to do, or

"(7) (8) MASTER GAS FITTER. Any person engaged in or

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proposing to engage in the business of contracting to do, or of superintending the installation, maintenance, or repair of plumbing, or both. The individual shall qualify to be a certified master plumber. If the business is a firm or corporation, at least one active employee of the firm or

corporation shall be a duly registered and certified master plumber, actively, continuously connected with the conduct of the business. The master plumber shall have in his or her possession a current annual certificate issued by the board. The certificate shall be available for inspection on request. A person who engages in or works at the actual installation, repair, or replacement of plumbing systems, who has successfully fulfilled the examination requirements of the board, has been issued a master plumber certificate by the board, and possesses a valid and current annual certificate issued by the board. The master plumber shall have in his or her possession a current annual certificate. The certificate shall be available for inspection on request. This person may at any time register with the board as principal for any business, firm, or corporation.

"(9) (10) MEDICAL GAS PIPING FITTER. A master plumber or master gas fitter whose certificate bears an endorsement for installation of medical gas piping. A journeyman plumber or journeyman gas fitter whose certificate bears an endorsement for installation of medical gas piping shall be entitled to install medical gas piping under the direction of a master plumber or master gas fitter whose certificate entitles him or her to install medical gas piping. The medical gas piping fitter shall have in his or her possession a current annual certificate with endorsement issued by the board. The certificate shall be available for inspection on request.

"(10) (11) MEDICAL GAS PIPING. Piping used solely to
transport gases used for medical purposes.

"(11) (12) PLUMBING, PLUMBING SYSTEMS, or PLUMBING WORK. The installation, repair, or replacement of pipes, fixtures, or other apparatus necessary either for supplying water or for removing liquid or waterborne waste. The term is also used to denote installed fixtures, drainage, vents, and water distribution systems.

"(13) PRINCIPAL. The individual responsible for the contracting, superintending, installation, maintenance, or repair of plumbing and/or gas fitting systems for a business, firm, or corporation, who is actively and continuously connected with the conduct of the business and is solely responsible to the board for the same.

engaged in or proposing to engage in the business of contracting to do conduct, or of superintending the installation, maintenance, or repair of gas systems or gas work, either or both. The individual shall qualify to be a certified master gas fitter. If the business be a firm or corporation, at least one active employee of the firm or corporation shall be a duly registered and certified master gas fitter, actively and continuously connected with the conduct of the business and registered with the board as the principal of the business and hold the required bond. The master gas fitter shall have in his or her possession a

current annual certificate issued by the board. The certificate shall be available for inspection on request.

engaged in or proposing to engage in the business of contracting to do conduct, or of superintending the installation, maintenance, or repair of either plumbing systems or plumbing work, or both. The individual shall qualify to be a certified master plumber. If the business is a firm or corporation, at least one active employee of the firm or corporation shall be a duly registered and certified master plumber, actively, continuously connected with the conduct of the business and registered with the board as the principal of the business and hold the required bond. The master plumber shall have in his or her possession a current annual certificate issued by the board. The certificate shall be available for inspection on request.

"\$34-37-2.

"The Plumbers Examining Board as created by Act No. 529 of the 1949 Regular Session of the Legislature (Acts 1949, p. 827), as amended, is renamed the <u>State of Alabama</u> Plumbers and Gas Fitters Examining Board and the authority of the board is expanded to allow the board to examine, certify, and regulate plumbers, gas fitters, and medical gas piping fitters on a statewide basis. The board may make and enforce rules adopted in accordance with Chapter 22 of Title 41, the Alabama Administrative Procedure Act.

"§34-37-5.

trust fund in the State Treasury to be known as the State of Alabama Plumbers and Gas Fitters Examining Board Fund. All receipts collected by the board under this chapter shall be deposited in this fund and used only to carry out this chapter. The fund shall be disbursed only by warrant of the state Comptroller upon the State Treasury upon itemized vouchers approved by the executive director of the board or, in the absence of the executive director, by the deputy director. No funds shall be withdrawn or expended except as budgeted and allotted according to Sections 41-4-80 to 41-4-96, inclusive, as amended, and only in amounts as stipulated in the general appropriation bill or other appropriation bills. Any funds unspent and unencumbered at the end of each fiscal year that exceed 25 percent of the board's budget for the previous fiscal year shall be transferred to the 501(c)(3) nonprofit Alabama Home Builders Foundation to be used exclusively for classroom training tools at educational facilities that provide plumbing and gas fitting curriculums. If, at any time, the Alabama Home Builders Foundation should lose its nonprofit status, those funds shall be transferred to the State General Fund. "(b) The executive director and the deputy director

"(a) There is established a separate special revenue

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"(b) The executive director and the deputy director of the board shall, before entering upon the duties of their office, make and file with the Secretary of State an official bond in the sum of five thousand dollars (\$5,000), unless covered by the state blanket bond. The appropriate premiums on

the bond shall be paid out of the funds of the board, and the bond shall be executed by an approved bonding fidelity or guaranty company qualified to do business in Alabama and acceptable to the Secretary of State.

"§34-37-6.

"(a) It shall be unlawful for any person, firm, or corporation to <u>advertise</u>, <u>bid</u>, <u>or</u> purchase a business license or to do or perform, or to contract, direct, or superintend any plumbing, gas fitting, or medical gas piping within any incorporated city or town or county of this state unless the person has first received a certificate of competency, hereinafter referred to as "certificate," that is in force and effect at the time the plumbing, gas fitting, or medical gas piping is <u>done performed</u>, directed, or superintended, except as hereinafter provided.

"(b) The business name which is registered with the board for each company engaged in plumbing or gas fitting shall be displayed in a prominent manner on two sides of each service or construction vehicle. The lettering shall be a minimum of four inches in height.

"(b) (c) The board shall register every person who may apply for an apprentice plumber or gas fitter registration certificate upon forms provided by the board, upon the applicant first paying all prescribed fees.

"(c) (d) The board shall examine and pass upon the qualification of every person who applies for a journeyman's

plumber or gas fitter certificate upon forms provided by the board.

"(1) An applicant for examination for a journeyman's plumber or gas fitter certificate shall submit proof that the applicant has two years of work experience as an apprentice plumber or gas fitter or has completed an apprentice training program approved by the board.

"(2) Applicants for journeyman plumber or gas fitter shall be examined, orally or in writing, upon the fundamentals of plumbing or gas fitting, the theory and practice of plumbing installation and construction or gas fitting work, and the experience and ability of the applicant in practical plumbing installation and construction or gas fitting work.

"(3) If the applicant is found to possess an accurate knowledge of the theory and correct practice of plumbing installation and construction or gas fitting work, and sufficient experience and ability in plumbing installation and construction or gas fitting work to safely and competently apply that knowledge and practice, the board shall issue a certificate, upon the applicant first paying all prescribed fees.

"(4) The examination $\frac{1}{2}$ may be administered by an independent examining agency.

"(d) (e) The board shall examine and pass upon the qualifications of every person who applies for a master's plumber or gas fitter certificate upon forms provided by the board.

"(1) Applicants for examination for a master plumber certificate shall submit proof to the board that the applicant has at least one year of work experience as a journeyman plumber.

- "(2) Applicants for examination for a master gas fitter's certificate shall submit proof to the board that the applicant has at least one year of work experience as a journeyman gas fitter.
- "(3) An applicant for a master's certificate shall be examined upon knowledge, training, and ability, in the planning, laying out, and supervision of plumbing installation and construction work or gas fitting work, and if found to possess those qualifications, the applicant shall be issued a master's plumber or gas fitter certificate by the board upon the applicant first paying all prescribed fees.
- "(4) The examination may be administered by an independent examining agency.

"(e) (f) No person shall be issued a certificate without passing the prescribed examination. A person who has passed the examination <u>must become certified within 90</u> calendar days and shall not be required to take the examination thereafter in order to secure a certificate in subsequent years. A person who passes the examination but fails to become certified shall be required to retake the examination. At least four examinations per year shall be conducted, at a time and place prescribed by the board after reasonable notice.

"(f) (g) Any master plumber, master gas fitter, journeyman plumber, or journeyman gas fitter performing medical gas piping shall provide proof to the board that he or she has successfully completed a current medical gas piping certification program approved by the board. The approval by the board shall be indicated by endorsement as a medical gas piping fitter on his or her certificate. Any journeyman performing medical gas piping shall work under the direction of a master plumber or master gas fitter whose certificate entitles him or her to install medical gas piping.

"(g) An applicant for any type of certification shall be a United States citizen or, if not a citizen of the United States, a person who is legally present in the United States with appropriate documentation from the federal government.

"(h) Any master plumber or journeyman plumber
installing or maintaining a backflow prevention device shall
have in his or her possession a current certification issued
by the board. The installation and maintenance of backflow
prevention devices shall be performed under the direction of a
master plumber.

"\$34-37-8.

- "(a) Any person who holds a certificate issued by the board shall be issued statewide certification upon the annual renewal of their existing certificate.
- "(b) Any certificate issued by the board shall be acceptable by any city, town, or county in the state and any

existing local statute or ordinance contrary to this section is repealed, and no other competency certification or examination shall be required of plumbers, gas fitters, or medical gas piping fitters by any local governmental entity.

"(c) Any current registration or annual certificate issued to any person by the board shall be in possession of the person at any time he or she engages in or proposes to engage as a master, journeyman, or apprentice plumber, gas fitter, or medical gas piping fitter, or while installing, testing, or maintaining a backflow prevention device, as the case may be, and upon request he or she shall make the certificate or registration available for inspection and identification by any person or persons for whom he or she engages or proposes to engage as such, and for identification by plumbing, gas, or other authorized enforcement personnel of any governmental entity, board, or agency when requested.

"\$34-37-9.

"The board shall set the necessary fees to administer the requirements of this chapter and when adopted, such fee schedule shall be in lieu of any fee schedule heretofore prescribed by the board. The board shall not change the fee schedule to be effective in the middle of a calendar year.

"The certificates provided for herein shall be issued by the board upon the payment of the prescribed fees. All annual certificates shall expire on December 31 of the year in which they are issued. The certificate fees herein

prescribed shall be in addition to all privilege or license taxes otherwise levied. Certificates renewed after December 31 shall include the late renewal penalty established by the board.

"All applicants requesting replacement of lost or stolen certificates shall be required to pay a \$5 reissuance fee based on the regular fee schedule.

"All persons serving in the armed forces will not be required to pay any back years for a renewal certificate during the calendar year he or she is released from active military duty. All other persons shall pay back years unless proof satisfactory to the board is furnished indicating that the individual was not employed in such years in the plumbing or gas fitting trade.

"§34-37-12.

"The board may revoke or suspend any certificate if obtained through concealment, misstatement, or misrepresentation of any material fact in the application for such certificate. The board may discipline any certificate holder or for a willful violation of any law or ordinance pertaining to the business of the holder of such certificates. Before a certificate may be suspended or revoked, the holder thereof shall have notice in writing, enumerating the charges against him or her, and be entitled to a hearing by the board not sooner than five days from receipt of notice. The holder of such certificate shall be given an opportunity to present testimony, oral or written, and right of cross-examination and

representation by counsel. All testimony shall be given under oath. The board shall have power to administer oaths, issue subpoenas, and compel the attendance of witnesses.

"The decision of the board shall be based on the evidence produced at the hearing and made a part of the record thereof. The board may suspend any certificate up to one year or may revoke the certification. The person whose certificate has been revoked shall not be permitted to apply for a license for one year from the date of revocation. No certification shall be suspended or revoked unless the majority of the board members concur. Board procedure shall be the same as defined in the Alabama Administrative Procedure Act, Chapter 22 of Title 42. The board may establish additional procedures as needed provided that any additional procedures are published and made public and are not in conflict with state law.

"Hearings shall be conducted by a hearing officer appointed by the board. The hearing officer may be a board member, the executive director, or such other person as the board may deem fit and proper to hold such hearing. The testimony adduced at the hearing, along with all other proceedings, shall be taken down by a competent stenographer and shall be preserved as a record of the board and shall be open to the public inspection at all reasonable times. A copy of the record so adduced shall be furnished to each member of the board, and no license shall be revoked unless a majority of the board members concur with the revocation of same.

"No annual certificate shall be issued to any certificate holder whose certificate shall have been revoked by the board after such hearing until one year from and after the date of such revocation unless the certificate holder whose certificate shall have been so revoked shall enter into a bond with good and sufficient surety in the penal sum of \$1,000 to faithfully comply with the provisions of this chapter and of all rules and regulations and codes adopted by the board, provided, however, that such certificate holder whose certificate shall be so revoked may within five days after such revocation appeal from the board's decision or revocation to the circuit court of the county in which the certificate holder resides, does business, or is employed.

"§34-37-13.

"In order to protect the public from damages arising from plumbing and gas fitting or plumbing work and gas fitting work failing to comply with the requirements of any state laws applicable thereto, or with the ordinances of any municipal corporation applicable thereto, it is hereby provided that before any person engages in the business of principal master plumber or principal master gas fitter, he or she shall execute and deposit with the judge of probate in the county of his or her principal place of business board a bond in the sum of \$2,000 fifteen thousand dollars (\$15,000). Such bond shall be a cash bond of \$2,000 or executed by a surety authorized and qualified to write surety bonds in the State of Alabama7 and shall be approved by the probate judge. Such bond shall be

conditioned upon all plumbing and gas fitting work done performed or supervised by such principal master plumber or principal master gas fitter complying with the provisions of any laws or ordinances relating to plumbing and gas fitting and applicable thereto. Action on such bond may be brought against the principal and surety thereon in the name of, and for the benefit of, any person who suffers damages as a consequence of the principal master plumber's or principal master gas fitter's work not conforming to the requirements of any laws or ordinances pertaining to plumbing and gas fitting and applicable thereto, provided, however, that the aggregate liability of the surety to all persons so damaged shall, in no event, exceed the sum of the bond. This shall be a statewide bond. No principal master plumber, principal master gas fitter, business, firm, or corporation who holds an active performance bond with the board shall be required to purchase a performance bond within any incorporated city or county within the State of Alabama.

"§34-37-14.

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"(a) No person who has obtained a certificate shall allow his or her name to be used by another person, either for the purpose of obtaining permits, or doing business or work under his or her certificate; and every person certified shall notify the board of the address of his or her place of business and the name under which such business is carried on, and shall give immediate notice to the board of any change in either.

"(b) Before any person certified by the board engages in the business of master plumber and/or master gas fitter, he or she shall notify the board of the address of the place of business he or she engages in as such and the name under which such business is carried on and shall give immediate notice of any change in either. All information required by this section shall be furnished on a business certification form furnished by the board.

"(c) Any certified master plumber or master gas fitter who desires to renew his or her annual certificate but does not intend to engage as a master, as defined in this chapter, shall complete an inactive status affidavit obtained from the board and shall remit the annual renewal fee set in the fee schedule. Code officials who hold a certification with the board and wish to be inactive shall be exempt from all renewal fees and examination requirements.

"\$34-37-15.

- "(a) The following acts, work, and conduct may be performed by anyone, without license or a certificate, provided, however, that all work and services herein named or referred to shall be subject to an inspection and approval in accordance with the terms of all state laws and applicable municipal ordinances:
- "(1) <u>Plumbing Gas fitting</u> work <u>done performed</u> by anyone who is regularly employed or <u>and</u> acting as a maintenance man acting under the supervision of a maintenance engineer or maintenance engineer incidental to and in

connection with the business in which he or she is employed and engaged, provided the gas fitting work is done performed on the premises of the employer, and who does not engage in the occupation of a plumber or gas fitter for the general public.

- "(2) Maintenance and reinstallation Plumbing work done performed upon the premises or equipment of a railroad, or other businesses or industry, by an employee thereof who does not engage in the occupation of a plumber or gas fitter for the general public.
- "(3) Plumbing or gas fitting work done performed by persons engaged by or employed by any public utility company in the laying, maintenance, and operation of its service mains or lines and the installation, alteration, adjustment, repair, removal, and renovation of all types of appurtenances, and equipment, and appliances, provided such plumbing work does not necessitate tying into waste or sewer lines on the outlet side of a trap or alter gas piping on the consumer side of meter.
- "(4) Appliances or fixture installations and service work done in connecting appliances or fixtures to existing piping installations.
- "(4) Plumbing work performed by a property owner in or about a building owned or occupied by the owner.
- "(b) Any person may install washing machines to existing piping installation or waste lines, provided such

plumbing work does not necessitate tying into waste or sewer lines on the outlet side of the trap.

"(c) This chapter shall not apply to any natural gas utility company having fewer than 350 employees that is regulated by the Alabama Public Service Commission.

"(d) (c) This chapter does not apply to any plumbing work done by a property owner in or about a building owned or occupied by him or her, or plumbing work done by anyone who is regularly employed by the property owner to provide maintenance or other repair services if the work is incidental to and in connection with the property for which he or she is employed and engaged and is done on the premises of the employer.

"§34-37-17.

"(a) The board shall have the administrative
authority to discipline or require a certificate holder to
attend training specific to violations. The board has the
authority to levy civil fines or penalties to any certificate
holder for a violation of any provision of this chapter
regulating plumbers, gas fitters, or medical gas fitters up to
two thousand dollars (\$2,000) and actual costs of hearing the
revocation.

(b) In addition to or in lieu of the criminal penalties and administrative sanctions provided in this chapter, the board may issue an order to any person, firm, or corporation engaged in any activity, conduct, or practice constituting a violation of this chapter, directing the

person, firm, or corporation to forthwith cease and desist

from the activity, conduct, practice, or performance of any

work then being performed or about to be commenced.

"(c) It shall be unlawful for any person to violate any provision of this chapter regulating plumbers, gas fitters, or medical gas piping fitters. Any person convicted of such violation shall be punished as prescribed for a Class B A misdemeanor."

Section 2. Although this bill would have as its purpose or effect the requirement of a new or increased expenditure of local funds, the bill is excluded from further requirements and application under Amendment 621, now appearing as Section 111.05 of the Official Recompilation of the Constitution of Alabama of 1901, as amended, because the bill defines a new crime or amends the definition of an existing crime.

Section 3. This act shall become effective on the first day of the third month following its passage and approval by the Governor, or its otherwise becoming law.